

ACCOUNT UPDATE FORM

Account Number: _____

Account Name(s): _____

To avoid delays in processing your request, completion of all questions is required.

Applicant Information

- Name: _____
 - Day Phone Number: _____
 - Evening Phone Number: _____
 - Email: _____
 - Employers Name: _____
 - Title/Occupation: _____
 - Employer's Address: _____
 - Marital Status: Married. Single. Divorced. Other.
 - Number of Dependents: _____
 - Are you a director, 10% shareholder or officer of a publicly trade company?
No. Yes. Please list the companies: _____
 - Are you associated with a brokerage firm, a member of a stock exchange or FINRA?
No. Yes. Please list the companies: _____
- _____

Co-Applicant Information

- Name: _____
- Day Phone Number: _____
- Evening Phone Number: _____
- Email: _____
- Employers Name: _____
- Title/Occupation: _____
- Employer's Address: _____

- Marital Status: Married. Single. Divorced. Other.
 - Number of Dependents: _____
 - Are you a director, 10% shareholder or officer of a publicly trade company?
 No. Yes. Please list the companies: _____
 - Are you associated with a brokerage firm, a member of a stock exchange or FINRA?
 No. Yes. Please list the companies: _____
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Financial Profile

(For Joint Accounts, individual data should be combined. **All questions must be answered.**)

- Annual Income (US Dollar Amount):
 Under \$25,000. \$25,000-\$80,000. \$80,000-\$150,000. Over \$150,000.
- Estimated Net Worth (Exclusive of Residence): US\$ _____
- Estimated Liquid Net Worth (Cash, Stocks...): US\$ _____
- Federal Tax Bracket: ≤15%. 15% - 28%. ≥ 28%. Other: _____
- Investment Objective: Income. Growth. Speculation(occasional). Speculation.
 Day Trading
- Investment Knowledge: Limited. Good. Extensive. Professional Trader.
- Number of Years Investment: _____
- Average Size of Transaction (US Dollar Amount): _____
- Average Number of Transaction Per Year: _____

Applicant Signature

Co-applicant Signature

Date

Date